To:

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER I OR CHAPTER II
OF THE PATENT COOPERATION TREATY)

(PCT Rules 44bis.3(c) and 72.2)

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Leibbrand • Thiele
Rechtsanwälte • Patentanwälte
iele
-8. März 2006

| | 1 |
|---|---|
| Date of mailing (day/month/year) 02 March 2006 (02.03.2006) | |
| Applicant's or agent's file reference 1734-04 | IMPORTANT NOTIFICATION |
| International application No. PCT/EP2004/002854 | International filing date (day/month/year) 18 March 2004 (18.03.2004) |
| Applicant P & S | S VORSPANNSYSTEME AG et al |

| 1. | Transmittal | of the | translation | to | the applicant. |
|----|-------------|--------|-------------|----|----------------|
|----|-------------|--------|-------------|----|----------------|

| The International Bureau transmits herewith a copy of the English translation of the international preliminary report | |
|---|------|
| patentability (Chapter I). | . On |

The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

None

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The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

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3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

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PATENT COOPERATION TREATY

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

| Applicant's or agent's file reference 1734-04 | FOR FURTHER ACTION | See item 4 below | | |
|---|---|---|--|--|
| International application No. PCT/EP2004/002854 | International filing date (day/month/year) 18 March 2004 (18.03.2004) | Priority date (day/month/year) 18 March 2003 (18.03.2003) | | |
| International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237 | | | | |
| Applicant P & S VORSPANNSYSTEME AG | | | | |

| 1. | This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a). | | | |
|--|---|---|---|--|
| 2. | This REPORT consists of a total | al of 7 sheets, including this co | over sheet. | |
| | In the attached sheets, any refer to the international preliminary | ence to the written opinion of report on patentability (Chapt | the International Searching Authority should be read as a reference er I) instead. | |
| 3. | This report contains indications | relating to the following item | s: | |
| | Box No. I | Basis of the report | | |
| | Box No. II | Priority | | |
| | Box No. III | Non-establishment of opin | nion with regard to novelty, inventive step and industrial | |
| | Box No. IV | Lack of unity of invention | | |
| | Box No. V | Reasoned statement under applicability; citations and | Article 35(2) with regard to novelty, inventive step or industrial explanations supporting such statement | |
| | Box No. VI | Certain documents cited | * | |
| | Box No. VII | Certain defects in the inter | national application | |
| | Box No. VIII | Certain observations on th | e international application | |
| 4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis.2). | | | | |
| • . | • | | | |
| | Date of issuance of this report 21 February 2006 (21.02.2006) | | | |
| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer Agnes Wittmann-Regis | | | Authorized officer Agnes Wittmann-Regis | |
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| | COMP (TD 10 TD 17 | | | |

Form PCT/IB/373 (January 2004)

PATENT COOPERATION TREATY

| From t | ine RNATIONAL SEARCHING AUTH | ORITY | | |
|---------------|--|---|---|---|
| То: | | | | PCT PCT |
| | | | | VRITTEN OPINION OF THE TIONAL SEARCHING AUTHORITY |
| | | | | (PCT Rule 43his.1) |
| | | | Date of mailing (day/month/year) | |
| 1 | cant's or agent's file reference | | FOR FURTHER | ACTION |
| | 34-04 | | | See paragraph 2 below |
| | ational application No. | International filing date | | Priority date (day/month/year) |
| l | T/EP2004/002854 ntional Patent Classification (IPC) or b | 18.03.2004 | | 18.03.2003 |
| Applie P & | ant & S VORSPANNSYSTEM | Æ AG | | · · · · · · · · · · · · · · · · · · · |
| 1. | · · · · · · · · · · · · · · · · · · · | | | |
| | Box No. II Priority | ne opinion | | tive step and industrial applicability |
| | Box No. V Reasoned | nity of invention statement under Rule 43 <i>bis</i> | :.1(a)(i) with regard to | novelty, inventive step or industrial |
| | applicabil | ity; citations and explanatio | ns supporting such sta | itement |
| | | cuments cited | | |
| | | fects in the international ap servations on the internatio | | |
| ~ | | | | |
| 2. | mernational Freimmary Examining | g Authority ("IPEA") except e chosen IPEA has notified | of that this does not ap If the International Bu | Il be considered to be a written opinion of the ply where the applicant chooses an Authority other reau under Rule 66.1 <i>bis</i> (b) that written opinions of |
| | If this opinion is, as provided above | e, considered to be a writte opriate, with amendments. | n opinion of the IPE. | A, the applicant is invited to submit to the IPEA and of 3 months from the date of mailing of Form expires later. |
| | For further options, see Form PCT/I | | , . | • |
| 3. | For further details, see notes to Forn | PCT/ISA/220. | | |
| Name a | nd mailing address of the ISA/EP | | Authorized | |
| | | | Authorized officer | |
| Facsimi | le No. | | Talanhous No. | |

| Bo | ox No. I | Basis of this opinion |
|----|---------------|--|
| 1. | With a filed, | regard to the language, this opinion has been established on the basis of the international application in the language in which it was unless otherwise indicated under this item. |
| | | This opinion has been established on the basis of a translation from the original language into the following language |
| | | Rule 12.3 and 23.1(b)). , which is the language of a translation furnished for the purposes of international search (under |
| 2. | With a | regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed tion, this opinion has been established on the basis of: |
| | a. t | type of material |
| | [| a sequence listing |
| | [| table(s) related to the sequence listing |
| | b. f | format of material |
| | Ĺ | in written format |
| | Ĺ | in computer readable form |
| | e. ti | time of filing/furnishing |
| | L | contained in the international application as filed. |
| | L | filed together with the international application in computer readable form. |
| | L. | furnished subsequently to this Authority for the purposes of search. |
| 3. | | In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished. |
| 4. | Additic | onal comments: |
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| Box | No. II | Priority |
|-----|------------------|---|
| 1. | TI | ne following document has not yet been furnished: |
| | \triangleright | copy of the earlier application whose priority has been claimed (Rule 43bis. 1 and 66.7(a)). |
| | | translation of the earlier application whose priority has been claimed (Rule 43bis.1 and 66.7(b)). |
| | Ço: the | nsequently it has not been possible to consider the validity of the priority claim. This opinion has nevertheless been established on assumption that the relevant date in the claimed priority date. |
| 2. | — (R | his opinion has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid tules 43bis.1 and 64.1). Thus for the purposes of this opinion, the international filing date indicated above is considered to be the levant date. |
| 3. | Addition | nal observations, if necessary: |
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| Bo | x No. V | Reasoned statement citations and expla | nt under R mations su | ule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; pporting such statement | |
|----|-----------|--|--------------------------|---|-----------|
| 1. | Statemen | | | 11 Mg oden dementen | |
| | Nove | elty (N) | Claims | | Vre |
| | | | Claims | 1-4,6,7,9 | |
| | Inver | ntive step (IS) | Claims | | |
| | | | | 5 | |
| | Indus | trial applicability (IA) | | | |
| | | | Claims | 1.9 | |
| | | | | | - NO |
| 2. | Citations | and explanations: | | | |
| | | | | | |
| | 1 | | | nade to the following document: | |
| | | D1: DE 704 518 C (| PAUL KAI | EHLER) 1 April 1941 (1941-04-01) | |
| | 2 | INDEPENDENT CLA | IM 1 | | |
| | | | | | |
| | | | | y the requirements of PCT Article 33(1), because the subject of Claim 1 is not new in the sense | of PCT |
| | | Article 33(2). Document l | D1 discloses | | |
| | | "A rigging arrangement | with over-ter | nsion protection with | |
| | | - a first element to be an | chored (g), | | |
| | | - a second element to l | e anchored | (f), which is rigged against the first anchored element (g), and | |
| | | - a mounting bolt (a) fo | or rigging, v | wherein by means of | |
| | | - a sleeve (d) which with the | ne mounting b | polt (a) is anchored against the second element (f) to be rigged and which is guided through the fi | irst |
| | | element (g) to be rigged, | | | |
| | | - and a sleeve mounting o | tevice (h) wt | hich engages the sleeve (d) and anchors the first rigging element (g) against the second elemen | at (f) to |
| | | be rigged, | | | (.) |
| | | - where the sleeve (d) is | loosened by | the sleeve mounting device (h) up to a prescribed degree of unloading and | |
| | | | | orce that separates the first and the second elements to be rigged (g, f) from each oth | |
| | | | | ads to a relaxation of the sleeve (d) relative to the anchoring by the mounting bolt (a | |
| | | to the subsequent break | | |) and |
| | | or can | ang or me i | mounting bolt (a). | |
| | | It is always possible to rig | ; a connectio | on over an operating force limit and therefore this feature is given implicitly in D1. | |
| | 3 | INDEPENDENT CLA | AIM 7 | | |

International application No.
PCT/EP2004/002854

Box No. V

Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

The present application does not satisfy the requirements of PCT Article 33(1) because the subject of claim 7 is not novel within the meaning of PCT Article 33(2). Document D1 discloses (see page 2, lines 4-24):

"A process for rigging two elements to be anchored (g, f) by means of a mounting bolt (a), a sleeve (d) and a sleeve mounting device (h) with the steps:

i - anchoring the sleeve (d) by means of the mounting bolt (a) against the second element (f) to be rigged, at which time the mounting bolt (a) compresses the sleeve (d),

ti – rigging the first element (g) to be anchored on the second element (f) to be anchored by rigging it with the sleeve mounting device (h), at which time the sleeve mounting device (h) engages the sleeve (d) extending through the first device (g) to be anchored in such a way that the sleeve (d) is relaxed up to a prescribed degree of unloading relative to the previous compression,

iii – in such a way that an operating force drives the first and second elements (g, j) to be anchored in opposite directions above a prescribed operating force limit until the sleeve (d) is completely unloaded and causes the mounting bolt (a) to break".

Feature iii represents an effect occurring during use. Since D1 has the same structure and the same process steps (i, ii) this same effect (iii) is also implicitly occurring in D1, especially as a result of the choice of the material of the mounting bolt.

4 INDEPENDENT CLAIM 8

The present application does not satisfy the requirements of PCT Article 33(1), because the subject matter of <u>Claim 8</u> is not novel within the meaning of PCT Article 33(2). Document D1 discloses (see page 3, lines 25-28):

"Mounting bolt (a) and sleeve (d) for use in an anchoring arrangement or in combination with a process wherein the mounting bolt (a) consists of a more elastic material than the sleeve" (implicit, page 2, lines 16-19).

5 DEPENDENT CLAIMS 2-6, 9

Claims 2-6, 9 do not contain any features that in combination with the features of any claim to which they refer back satisfy the requirements of the PCT with respect to novelty and inventive activity.

Claims 2, 3, 4: The features are implicitly derived from D1 (page 2, lines 25-115).

| Box No. V | Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
|-----------|--|
| | |
| | Claims 6.9: D1 also discloses all features mentioned in these claims. |
| | |
| | Claim 5: The possibility of affixing a mounting bolt in a boring with internal threading in the second element to |
| | be anchored is a commonly used measure (see search report). |
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